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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/977,937

10/17/2001

Jim Allen

UTS-103

CONFIRMATION NO. 4020

FORMALITIES LETTER



\*OC00000007084923\*

SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102

Date Mailed: 11/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice MUST be returned with the reply.*

  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/29/2001 BABRAHA1 00000048 501390 09977937

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Receipt # 3

PATENT



SUTS-103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ALLEN ET AL.

Serial No.: 09/977,937

Filed: October 17, 2001

For: INTELLIGENT VEHICLE  
IDENTIFICATION SYSTEM

Art Unit: 2163

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON PROVISIONAL  
APPLICATION FILED UNDER 37 CFR 1.53(b) - FILING DATE GRANTED**

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non Provisional Application Filed

Under 37 C.F.R. 1.53(b) mailed November 16, 2001, copy attached, applicants are submitting  
herewith:

- ☒ Declaration and Power of Attorney for Patent Application signed by Applicant;
- ☐ \_\_\_\_\_ sheets of drawings in compliance with applicable regulations;
- ☐ Other: \_\_\_\_\_;
- ☐ Check in the amount of \_\_\_\_\_ to cover the required fees for submission of these documents;
- ☒ The Commissioner is hereby authorized to charge the amount of \$65.00 to Deposit Account 50-1390 to cover the required fees for submission of these documents, and a copy of this authorization is attached.

Serial No.: 09/977,937  
Art Unit: 2163

Attorney's Docket No.: UTS-103  
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: 703/770-7696

Date: November 27, 2001

Respectfully submitted,

ALLEN ET AL



By:

Poh C. Chua

Registration No. 44,615

UTS-103

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

ALLEN ET AL.

Serial No.: 09/977,937

Art Unit: 2163

RECEIVED

Filed: October 17, 2001

Examiner: Not Yet Assigned

DEC 12 2001

For: INTELLIGENT VEHICLE  
IDENTIFICATION SYSTEM

Group 2100

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

DEC 12 2001

Group 2100

Sir:

A corrected Official Filing Receipt is hereby requested in view of the errors that appear in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the requested corrections have been noted in red.

If any fees are necessary to act upon this request, the Patent Office is authorized to charge Deposit Account No. 50-1390.

SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: 703/770-7696

Respectfully submitted,

ALLEN ET AL.

Date: November 27, 2001

By:

Poh C. Chua

Registration No. 44,615

Attachment: Marked Up Copy of Filing Receipt



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/977,937	10/17/2001	2163	370	UTS-103	26	20	3

CONFIRMATION NO. 4020

## FILING RECEIPT



\*OC00000007084922\*

SHAW PITTMAN LLP  
1068 Tysons Boulevard  
McLean, VA 22102

Date Mailed: 11/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jim Allen, Residence Not Provided;  
William J. Ippolito, Residence Not Provided;  
Malcolm J. Talley, Residence Not Provided;  
Stephen Hall, Residence Not Provided;

malcolm "C." Talley  
stephen "B." Hall

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/15/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

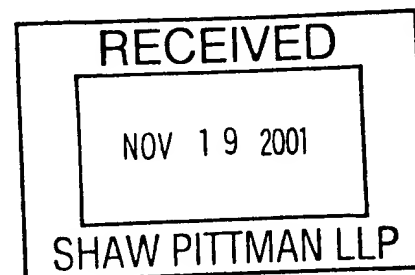
Title

Intelligent vehicle identification system

Preliminary Class

705

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Group 2100



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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